IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

GUDGEON et al.

Examiner:

Unknown

Serial No.:

10/698,841

Group Art Unit:

Unknown

Filed:

October 31, 2003

Docket:

11106.73US01

Title:

ENGINE REVOLUTION LIMITER

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 450, Alexandria, VA

on April 6, 2004.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

Transmittal Sheet in duplicate containing Certificate of Mailing

Small entity status is claimed pursuant to 37 C.F.R. 1.27

Verified Statement Claiming Small Entity Status

☐ Information Disclosure Statement

Form PTO 1449, Eleven (11) References

One (1) reference enclosed in accordance with 37 C.F.R. §1.98(a)(2)(i)

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Gregory A. Sebald

Reg. No.: 33,280

GAS/km

SMALL BUSINESS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.27(a)(2)) - SMALL BUSINESS CONCERN

	1 nereo	y declare	mai i am					
		a) 🔲	the owner of	the small business	concern identified be	low:		
	E \		an official of	the small business	concern empowered	to act on behalf	of the concern identified below:	
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,		6	NAME OF	CONCERN:	S.P.S. Cuala Ina			
) .	Wh	씽			S&S Cycle, Inc.			
	1.2 m	Ē	ADDRESS	OF CONCERN:	14025 County Hig	•		
800	`	9			Viola, Wisconsin	54664		
b		\$						
PAL	.08							
NEI VIE	T& TRADE	daalaaa	that the above	identified annull by			siness concern as defined in 13 C.F.R.	121 and
							iced fees under Section 41(a) and (b) of	
							of its affiliates, does not exceed 500 j	
	For pur	poses of	this statement,	(1) the number of	employees of the busi	ness concern is t	he average over the previous fiscal ye	ar of the
	concerr	of the po	ersons employe	ed on a full-time, n	art-time or temporary	basis during eac	h of the pay periods of the fiscal year,	and (2)
							rols or has the power to control the of	
						1117 (0.1116)211 (0.220)	TOTAL THE MANAGEMENT CONTINUE THE TAIL	no, or u
	unira pa	irty or par	rties controis o	r has the power to	control both.			
	I hereby	y declare	that rights und	ler contract or law !	have been conveyed to	and remain wit	h the small business concern identified	d above
) Joseph A. Gudgeon, Nevin R. Hilleg	
			described in				, coopii in Guagasii, i comina in ini	,
	Dilaii 5	. Linucii	described in					
		. —	~					
		a) 🔲		tion filed herewith.				
		b) 🔲	provisional a	pplication serial no	o, filed			
		c) 🛛	non-provision	nal application seri	al no	, filed Octo	ber 31, 2003.	
		d) 🔲	patent no.		· · · · · · · · · · · · · · · · · · ·			
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	TC.1 .		1 4 1					
							individual, concern or organization ha	
	1. 4 4.	a tha invo	ention is listed	halaw* and no rial		1 111	son, other than the inventor, who coul	ld not
	rights to	o me mve	inion is nateu	ociow and no rigi	ns to the invention are	held by any per	son, other than the nivelior, who coul	id Hot
	qualify	as a pers	on under 37 C.	F.R. 1.27(a)(1) or	by any concern which		fy as a small business concern under 3	
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•	qualify 1.27(a)	as a perso (2) or a no	on under 37 C.	F.R. 1.27(a)(1) or	by any concern which			
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S/N 10/698,841 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

GUDGEON et al.

Examiner:

Unknown

Serial No.:

10/698,841

Group Art Unit:

Unknown

Filed:

October 31, 2003

Docket No.:

11106.73US01

Kitle:

ENGINE REVOLUTION LIMITER

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22213-1450, or April 6, 2064.

Name: Karen R. Nejedly

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2)(i), a copy of the U.S. patents and U.S. patent publications listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003. A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

Dated:

Bv:

Grégory A. Sebald

Reg. No. 33,280

GAS/km

23552

PATENT TRADEMARK OFFICE

Date Mailed: April 6, 2004 Sheet 1 of 1

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INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	Application Number:
11106.73US01	10/698,841

Applicant: GUDGEON et al.

Filing Date: October 31,

Group Art Unit: Unknown

TRANS			U.S. I	PATENT DOCUMEN'	TS			
EXAMINER INITIAL	DOCUMENT NO.	DATE		NAME	CLASS	SUBCLASS		G DATE OPRIATE
	4,067,303	January 10, 19	78	Aoki et al.				
	5,803,043	September 8,	1998	Bayron et al.				
	6,044,822	April 4, 2000		John M. Daniels				-
	6,445,985	September 3,	2002	Bitzer et al.				
	5,943,997	August 31, 19	99	Kinsey et al.				
	6,499,341	December 31,	2002	Lodise et al.			•	·
	6,541,959	April 1, 2003		Gudgeon et al.				
	6,557,510	May 6, 2003		Sjovall et al.				
	6,560,528	May 6, 2003		Gitlin et al.				
	6,588,259	July 8, 2003		Lodise et al.				
		F	OREIG	N PATENT DOCUM	ENTS	·		
	DOCUMENT NO	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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,								
	ОТН	ER DOCUMEN	TS (In	cluding Author, Title, D	Date, Pertinent Pa	iges, Etc.)		·
	S&S Cyc	cle Catalog Num	ber 13,	Power Kits, pp 2-1 thro	ough 2-7, Februa	ry 2002		
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PATENT TRADEMARK OFFICE

EXAMINER	DATE CO	NSIDERED
	L L	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.